

Chapter 0

Preliminaries

This chapter reviews some of the basic concepts used in this text. Many can be found in standard texts on discrete mathematics. Much of the notation employed in later chapters is also presented here.

0.1 Logic and Set Theory

A basic familiarity with the nature of formal proofs is assumed; most proofs given in this text are complete and rigorous, and the reader is encouraged to work the exercises in similar detail. A knowledge of logic circuits would be necessary to construct the machines discussed in this text. Important terminology and techniques are reviewed here.

Unambiguous *statements* that can take on the values **True** or **False** (denoted by 1 and 0, respectively) can be combined with *connectives* such as *and* (\wedge), *or* (\vee), and *not* (\neg) to form more complex statements. The truth tables for several useful connectives are given in Figure 0.1, along with the symbols representing the physical devices that implement these connectives.

As an example of a complex statement, consider the assertion that two statements p and q take on the same value. This can be rephrased as: Either (p is true and q is true) or (p is false and q is false). As the truth table for *not* shows, a statement r is false exactly when $\neg r$ is true; the above assertion could be further refined to: Either (p is true and q is true) or ($\neg p$ is true and $\neg q$ is true).

In symbols, this can be abbreviated as:

$$(p \wedge q) \vee (\neg p \wedge \neg q)$$

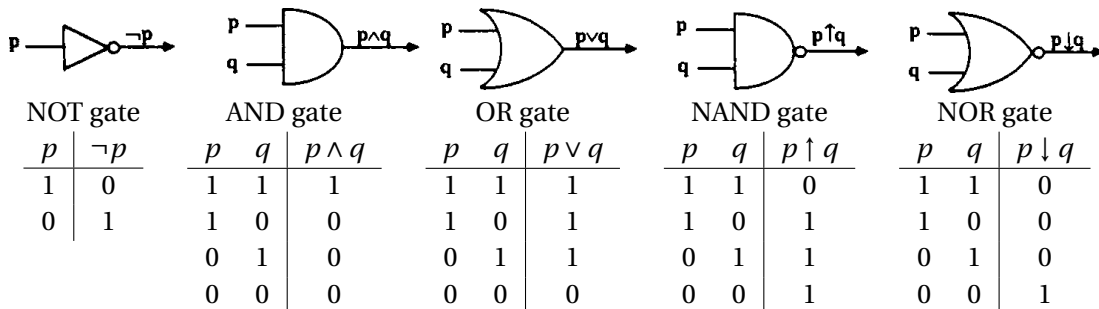


Figure 0.1: Common logic gates and their truth tables

p	q	$\neg p$	$\neg q$	$\neg p \wedge \neg q$	$p \wedge q$	$(p \wedge q) \vee (\neg p \wedge \neg q)$
1	1	0	0	0	1	1
1	0	0	1	0	0	0
0	1	1	0	0	0	0
0	0	1	1	1	0	1

Figure 0.2: Truth tables for various compound expressions

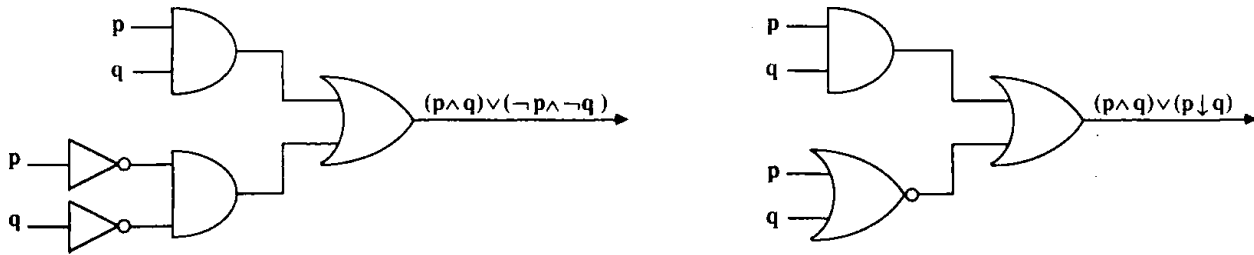


Figure 0.3: Functionally equivalent circuits

The truth table covering the four combinations of truth values of p and q can be built from the truth tables defining \wedge , \vee , and \neg , as shown in 0.2. The truth table shows that the assertion is indeed true in the two cases where p and q reflect the same values, and false in the two cases where the values assigned to p and q differ. When the statement that r and s always take on the same value is indeed true, we often write r iff s (“ r if and only if s ”). The biconditional can also be denoted by $r \Leftrightarrow s$ (“ r is *equivalent* to s ”).

Consider the statement $(p \wedge q) \vee (p \downarrow q)$. Truth tables can be constructed to verify that $(p \wedge q) \vee (\neg p \wedge \neg q)$ and $(p \wedge q) \vee (p \downarrow q)$ have identical truth tables, and thus $(p \wedge q) \vee (\neg p \wedge \neg q) \Leftrightarrow (p \wedge q) \vee (p \downarrow q)$.

Example 0.1

Circuitry for realizing each of the above statements is displayed in Figure 0.3. Since the two statements were equivalent, the circuits will exhibit the same behavior for all combinations of input signals p and q . The second circuit would be less costly to build since it contains fewer components, and tangible benefits therefore arise when equivalent but less cumbersome statements can be derived. Techniques for *minimizing* such circuitry are presented in most discrete mathematics texts.

Example 0.1 shows that it is straightforward to implement statement formulas by circuitry. Recall that the location of the 1 values in the truth table can be used to find the corresponding *principal disjunctive normal form* (PDNF) for the expression represented by the truth table. For example, the truth table corresponding to NAND has three rows with 1 values ($p = 1, q = 0$; $p = 0, q = 1$; $p = 0, q = 0$), leading to three *terms* in the PDNF expression: $(p \wedge \neg q) \vee (\neg p \wedge q) \vee (\neg p \wedge \neg q)$. This formula can be implemented as the circuit illustrated in Figure 0.4 and thus a NAND gate can be replaced by this combination of three ANDs and one OR gate. This circuit relies on the assurance that a quantity of interest (such as p) will generally be available in both its negated and unnegated forms. Hence we can count on access to an input line representing $\neg p$ (rather than feeding the input for p into a NOT gate).

In a similar fashion, *any* statement formula can be represented as a group of AND gates feeding a single OR gate. In larger truth tables, there may be many more 1 values, and hence more complex statements may need many AND gates. Regardless of the statement complexity, however, circuits based

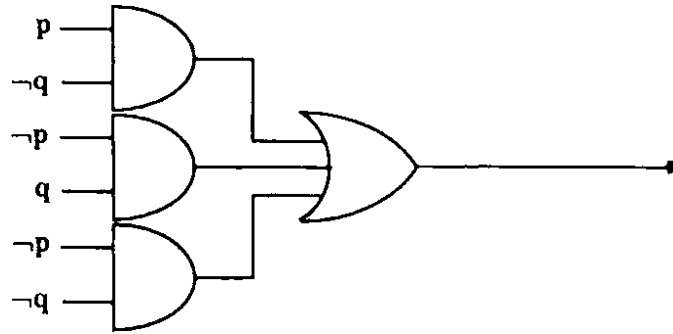


Figure 0.4: A circuit equivalent to a single NAND gate

$(p \vee q) \wedge r \Leftrightarrow (p \wedge r) \vee (q \wedge r)$	$(p \wedge q) \vee r \Leftrightarrow (p \vee r) \wedge (q \vee r)$	(distributive laws)
$(p \vee q) \vee r \Leftrightarrow p \vee (q \vee r)$	$(p \wedge q) \wedge r \Leftrightarrow p \wedge (q \wedge r)$	(associative laws)
$p \vee q \Leftrightarrow q \vee p$	$p \wedge q \Leftrightarrow q \wedge p$	(commutative laws)
$\neg(p \vee q) \Leftrightarrow \neg p \wedge \neg q$	$\neg(p \wedge q) \Leftrightarrow \neg p \vee \neg q$	(De Morgan's laws)
$(p \vee q) \wedge p \Leftrightarrow p$	$(p \wedge q) \vee p \Leftrightarrow p$	(absorption laws)
$p \vee \neg p \Leftrightarrow \mathbf{True}$	$p \wedge \neg p \Leftrightarrow \mathbf{False}$	(mutual exclusion)

Figure 0.5: Some useful equivalences and their duals

on the PDNF of an expression will allow for a fast response to changing input signals, since no signal must propagate through more than two gates.

Other useful equivalences are given in Figure 0.5. Each rule has a *dual*, written on the same line.

Predicates are often used to make statements about certain *objects*, such as the numbers in the set (\mathbb{Z}) of integers. For example, Q might represent the property of being less than 5, in which case $Q(x)$ will represent the statement “ x is less than 5.” Thus, $Q(3)$ is true, while $Q(7)$ is false. It is often necessary to make global statements such as: *All* integers have the property P , which can be denoted by $(\forall x \in \mathbb{Z})P(x)$. Note that the *dummy* variable x was used to state the concept in a convenient form; x is not meant to represent a particular object, and the statement could be equivalently phrased as $(\forall i \in \mathbb{Z})P(i)$. For the predicate Q defined above, the statement $(\forall x \in \mathbb{Z})Q(x)$ is false, while when applied to more restricted domains, $(\forall x \in \{1, 2, 3\})Q(x)$ is true, since it is in this case equivalent to $Q(1) \wedge Q(2) \wedge Q(3)$, or $(1 < 5) \wedge (2 < 5) \wedge (3 < 5)$.

In a similar fashion, the statement that *some* integers have the property P will be denoted by $(\exists i \in \mathbb{Z})P(i)$. For the predicate Q defined above, $(\exists i \in \{4, 5, 6\})Q(i)$ is true, since it is equivalent to $Q(4) \vee Q(5) \vee Q(6)$, or $(4 < 5) \vee (5 < 5) \vee (6 < 5)$. The statement $(\exists y \in \{7, 8, 9\})Q(y)$ is false.

Note that asserting that it is not the case that all objects have the property P is equivalent to saying that there is at least one object that does not have the property P . In symbols, we have

$$\neg(\forall x \in \mathbb{Z})P(x) \Leftrightarrow (\exists x \in \mathbb{Z})(\neg P(x))$$

Similarly,

$$\neg(\exists x \in \mathbb{Z})P(x) \Leftrightarrow (\forall x \in \mathbb{Z})(\neg P(x))$$

Given two statements A and B , if B is true whenever A is true, we will say that A *implies* B , and write $A \Rightarrow B$. For example, the truth tables show that $p \wedge q \Rightarrow p \vee q$, since for the case where $p \wedge q$ is true ($p = 1, q = 1$), $p \vee q$ is true, also. In the cases where $p \wedge q$ is false, the value of $p \vee q$ is immaterial.

A basic knowledge of set theory is assumed. Some standard special symbols will be repeatedly used to designate common sets.

Definition 0.1 The set of natural numbers is given by $\mathbb{N} = \{0, 1, 2, 3, 4, \dots\}$.

The set of integers is given by $\mathbb{Z} = \{\dots, -2, -1, 0, 1, 2, \dots\}$.

The set of rational numbers is given by $Q = \{\frac{a}{b} \mid a \in \mathbb{Z} \wedge b \in \mathbb{Z} \wedge b \neq 0\}$.

The set of real numbers (points on the number line) will be denoted by \mathbb{R} .

The following concepts and notation will be used frequently throughout the text.

Definition 0.2 Let A and B be sets. A is a subset of B if every element of A also belongs to B ; that is, $A \subseteq B \Leftrightarrow (\forall x)(x \in A \Rightarrow x \in B)$.

Definition 0.3 Two sets A and B are said to be equal if they contain exactly the same elements; that is, $A = B \Leftrightarrow (\forall x)(x \in A \Leftrightarrow x \in B)$.

Thus, two sets A and B are equal iff $A \subseteq B$ and $B \subseteq A$. The symbol \subset will be used to denote a proper subset: $A \subset B$ iff $A \subseteq B$ and $A \neq B$.

Definition 0.4 For sets A and B , the cross product of A with B , is the set of all ordered pairs from A and B ; that is, $A \times B = \{\langle a, b \rangle \mid a \in A \wedge b \in B\}$.

0.2 Relations

Relations are used to describe relationships between members of sets of objects. Formally, a relation is just a subset of a cross product of two sets.

Definition 0.5 Let X and Y be sets. A relation R from X to Y is simply a subset of $X \times Y$. If $\langle a, b \rangle \in R$, we write aRb . If $\langle a, b \rangle \notin R$, we write $a \not R b$. If $X = Y$, we say R is a relation in X .

Example 0.2

Let $X = \{1, 2, 3\}$. The familiar relation $<$ (less than) would then consist of the following ordered pairs: $<: \{\langle 1, 2 \rangle, \langle 1, 3 \rangle, \langle 2, 3 \rangle\}$, by which we mean to indicate that $1 < 2$, $1 < 3$, and $2 < 3$. $\langle 3, 3 \rangle \notin <$ since $3 \not< 3$.

Some relations have special properties. For example, the relation “less than” is *transitive*, by which we mean that for any numbers x , y , and z , if $x < y$ and $y < z$, then $x < z$. Definition 0.6 describes an important class of relations that have some familiar properties.

Definition 0.6 A relation is reflexive iff $(\forall x)(xRx)$.

A relation is symmetric iff $(\forall x)(\forall y)(xRy \Rightarrow yRx)$.

A relation is transitive iff $(\forall x)(\forall y)(\forall z)((xRy \wedge yRz) \Rightarrow xRz)$.

An equivalence relation is a relation that is reflexive, symmetric, and transitive.

Example 0.3

$<$ is not an equivalence relation; while it is transitive, it is not reflexive since $3 \not< 3$. (It is also not symmetric, since $2 < 3$, but $3 \not< 2$.)

Example 0.4

Let $X = \mathbb{N}$. The familiar relation $=$ (equality) is an equivalence relation.

$$=: \{\langle 0, 0 \rangle, \langle 1, 1 \rangle, \langle 2, 2 \rangle, \langle 3, 3 \rangle, \langle 4, 4 \rangle, \dots\},$$

and it is clear that $(\forall x)(\forall y)(x = y \Rightarrow y = x)$. The equality relation is therefore symmetric, and it is likewise obvious that $=$ is also reflexive and transitive.

Definition 0.7 Let R be an equivalence relation in X , and let $h \in X$. Then $[h]_R$ refers to the equivalence class consisting of all entities that are related to h by the equivalence relation R ; that is, $[h]_R = \{y \mid y R h\}$.

Example 0.5

The equivalence classes for $=$ are singleton sets: $[1]_ = \{1\}$, $[5]_ = \{5\}$, and so on.

Example 0.6

Let $X = \mathbb{Z}$, and define the relation R in $\mathbb{Z} \times \mathbb{Z}$ by

$$\langle u, v \rangle R \langle w, x \rangle \text{ iff } ux = vw$$

If $\langle x, y \rangle$ is viewed as the fraction $\frac{x}{y}$, then R is the relation that identifies equivalent fractions: $\frac{2}{3} R \frac{14}{21}$, since $2 \cdot 21 = 3 \cdot 14$. In this sense, R can be viewed as the *equality* operator on the set of rational numbers \mathbb{Q} .

Note that in this context the equivalence class $[\frac{2}{8}]_R$ represents the set of all “names” for the point one-fourth of the way between 0 and 1; that is,

$$\left[\frac{2}{8}\right]_R = \left\{ \dots, \frac{-3}{-12}, \frac{-2}{-8}, \frac{-1}{-4}, \frac{1}{4}, \frac{2}{8}, \frac{3}{12}, \frac{4}{16}, \frac{5}{20}, \dots \right\}$$

There are therefore many other ways of designating this same set; for example,

$$\left[\frac{1}{4}\right]_R = \left\{ \dots, \frac{-3}{-12}, \frac{-2}{-8}, \frac{-1}{-4}, \frac{1}{4}, \frac{2}{8}, \frac{3}{12}, \frac{4}{16}, \frac{5}{20}, \dots \right\}$$

Example 0.7

Let $X = \mathbb{N}$ and choose an $n \in \mathbb{N}$. Define R_n by

$$x R_n y \text{ iff } (\exists i \in \mathbb{Z})(x - y = i \cdot n)$$

That is, two numbers are related if their difference is a multiple of n . Equivalently, x and y must have the same remainder upon dividing each of them by n if we are to have $x R_n y$. R_n can be shown to be an equivalence relation for each natural number n . The equivalence classes of R_2 , for example, are the two familiar sets, the even numbers and the odd numbers. The equivalence classes for R_3 are

$$[0]_{R_3} = \{0, 3, 6, 9, 12, 15, \dots\}$$

$$[1]_{R_3} = \{1, 4, 7, 10, 13, \dots\}$$

$$[2]_{R_3} = \{2, 5, 8, 11, 14, \dots\}$$

R_n is often called *congruence modulo n* , and xR_ny is commonly denoted by $x \equiv y \pmod{n}$ or $x \equiv_n y$.

If R is an equivalence relation in X , then every element of X belongs to exactly one equivalence class of R . X is therefore comprised of the union of the equivalence classes of R , and in this sense R *partitions* the set X into disjoint subsets. Conversely, a partition of X defines an equivalence relation in X ; the sets of the partition can be thought of as the equivalence classes of the resulting relation.

Definition 0.8 Given a set X and sets A_1, A_2, \dots, A_n , the collection $P = \{A_1, A_2, \dots, A_n\}$ is a partition of X if the sets in P are all subsets of X , they cover X , and are pairwise disjoint. That is, the following three conditions are satisfied:

$$\begin{aligned} (\forall i \in \{1, 2, \dots, n\})(A_i \subseteq X) \\ (\forall x \in X)(\exists i \in \{1, 2, \dots, n\} \ni x \in A_i) \\ (\forall i, j \in \{1, 2, \dots, n\})(i \neq j \Rightarrow A_i \cap A_j = \emptyset) \end{aligned}$$

Definition 0.9 Given a set X and a partition $P = \{A_1, A_2, \dots, A_n\}$ of X , the relation $R(P)$ in X induced by P is given by

$$(\forall x \in X)(\forall y \in X)(xR(P)y \Leftrightarrow (\exists i \in \{1, 2, \dots, n\} \ni x \in A_i \wedge y \in A_i))$$

$R(P)$ thus relates elements that belong to the same subset of P .

Example 0.8

Let $X = \{1, 2, 3, 4, 5\}$ and consider the relation $Q = R(S)$ induced by the partition $S = \{\langle 1, 2 \rangle, \langle 3, 5 \rangle, \langle 4 \rangle\}$. Since 1 and 2 are in the same set, they should be related by Q , while $1Q4$ because 1 and 4 belong to different sets of the partition. Q can be described by

$$Q = \{\langle 1, 1 \rangle, \langle 1, 2 \rangle, \langle 2, 1 \rangle, \langle 2, 2 \rangle, \langle 3, 3 \rangle, \langle 3, 5 \rangle, \langle 4, 4 \rangle, \langle 5, 3 \rangle, \langle 5, 5 \rangle\}$$

It is straightforward to check that Q satisfies the three properties needed to qualify as an equivalence relation, and the equivalence classes of Q are

$$\begin{aligned} [1]_Q &= \{1, 2\} \\ [2]_Q &= \{1, 2\} \\ [3]_Q &= \{3, 5\} \\ [4]_Q &= \{4\} \\ [5]_Q &= \{3, 5\} \end{aligned}$$

The set of *distinct* equivalence classes of Q can be used to partition X ; note that these three classes comprise S . In a similar manner, the three distinct equivalence classes of R_3 in Example 0.7 form a partition of \mathbb{N} .

A “finer” partition of X can be obtained by breaking up the equivalence classes of Q into smaller (and hence more numerous) sets. The resulting relation is called a *refinement* of Q .

Definition 0.10 Given two equivalence relations R and Q in a set X , R is a refinement of Q iff $R \subseteq Q$; that is, $(\forall x \in X)(\forall y \in X)(\langle x, y \rangle \in R \Rightarrow \langle x, y \rangle \in Q)$.

Example 0.9

Consider $Q = \{\langle 1, 1 \rangle, \langle 1, 2 \rangle, \langle 2, 1 \rangle, \langle 2, 2 \rangle, \langle 3, 3 \rangle, \langle 3, 5 \rangle, \langle 4, 4 \rangle, \langle 5, 3 \rangle, \langle 5, 5 \rangle\}$ and $S = \{\langle 1, 1 \rangle, \langle 2, 2 \rangle, \langle 3, 3 \rangle, \langle 3, 5 \rangle, \langle 4, 4 \rangle, \langle 5, 3 \rangle, \langle 5, 5 \rangle\}$. S is clearly a subset of Q , and hence S refines Q . Note that the partition induced by S , $\{\{1\}, \{2\}, \{3, 5\}, \{4\}\}$, indeed splits up the partition induced by Q , which was $\{\{1, 2\}, \{3, 5\}, \{4\}\}$. While it may at first seem strange, the fact that S contained *fewer* ordered pairs than Q guarantees that S will yield *more* equivalence classes than Q .

0.3 Functions

A *function* f is a special type of relation in which each first coordinate is associated with one and only one second coordinate, in which case we can use functional notation $f(x)$ to indicate the unique element f associates with a given first coordinate x . In the previous section we concentrated on relations *in* X , that is, subsets of $X \times X$. The set of first coordinates of a function f (the *domain* X) is often different from the set of possible second coordinates (the *codomain* Y), and hence f will be a subset of $X \times Y$.

Definition 0.11 A function $f: X \rightarrow Y$ is a subset of $X \times Y$ for which

1. $(\forall x \in X)(\exists y \in Y \ni xfy)$.
2. $(\forall x \in X)((xfy_1 \wedge xfy_2) \Rightarrow y_1 = y_2)$.

When a pair of elements are related by a function, we will write $f(a) = b$ instead of afb or $\langle a, b \rangle \in f$. The criteria for being a function could then be rephrased as $(\forall x \in X)(\exists y \in Y \ni f(x) = y)$, and $(\forall x_1 \in X)(\forall x_2 \in X)(x_1 = x_2 \Rightarrow f(x_1) = f(x_2))$.

Example 0.10

Let n be a positive integer. Define $f_n: \mathbb{N} \rightarrow \mathbb{N}$ by $f_n(j) =$ the smallest natural number i for which $j \equiv i \pmod n$, $f_3(j)$, for example, is a function and is represented by the ordered pairs $f_3: \{\langle 0, 0 \rangle, \langle 1, 1 \rangle, \langle 2, 2 \rangle, \langle 3, 0 \rangle, \langle 4, 1 \rangle, \dots\}$. This implies that $f_3(0) = 0$, $f_3(1) = 1$, $f_3(2) = 2$, $f_3(3) = 0$, and so on.

Note that f_3 is a subset of the relation R_3 given in Example 0.7. If R_3 were presented as a function, it would not be *well defined*; that is, R_3 does not satisfy Definition 0.11. For example, $2R_35$ and $2R_38$, but $5 \neq 8$, and so $R_3(2)$ is not a meaningful expression, since there is no unique object that R_3 associates with 2. In this case, R_3 violated Definition 0.11 by associating *more* than one object with a given first coordinate; in general, a proposed relation may also fail to be well defined by associating *no* objects with a potential first coordinate.

Example 0.11

Consider the “function” $g: \mathbb{Q} \rightarrow \mathbb{N}$ defined by $g(\frac{m}{n}) = m$. This apparently straightforward definition is fundamentally flawed. According to the formula, $g(\frac{2}{8}) = 2$, $g(\frac{7}{9}) = 7$, $g(\frac{5}{10}) = 5$, and so forth. However, $\frac{2}{8} = \frac{5}{20}$, but $g(\frac{2}{8}) = 2 \neq 5 = g(\frac{5}{20})$, and Definition 0.11 is again violated; $g(0.25)$ is not a well defined quantity, and thus the “function” g is not well defined. Had g truly been a function, it would have passed the test: if $x = y$, then $g(x) = g(y)$.

The problem with this seemingly innocent definition is that the domain element 0.25 is actually an *equivalence class* of fractions (recall Example 0.6), and the definition of g was based on just one *representative* of that class. We observed that two representatives ($\frac{2}{8}$ and $\frac{5}{20}$) of the *same* class gave conflicting

answers (2 and 5) for the value that g associated with their class (0.25). While it is possible to define functions on a set of equivalence classes in a consistent manner, it will always be important to verify that such functions are *single valued*.

Selection criteria, which determine whether a candidate does or does not belong to a given set, are special types of functions.

Definition 0.12 Given a set A , the characteristic function χ_A associated with A is defined by

$$\chi_A(x) = 1 \text{ if } x \in A \quad \text{and} \quad \chi_A(x) = 0 \text{ if } x \notin A$$

Example 0.12

The characteristic function for the set of odd numbers is the function f_2 given in Example 0.10.

To say that a *set* is well defined essentially means that the characteristic function associated with that set is a well-defined function. A set of equivalence classes can be ill defined if the definition is based on the representatives of those equivalence classes.

Example 0.13

Consider the “set” of fractions that have odd numerators, whose characteristic “function” is defined by:

$$\chi_B\left(\frac{m}{n}\right) = 1 \text{ if } m \text{ is odd}$$

and

$$\chi_B\left(\frac{m}{n}\right) = 0 \text{ if } m \text{ is even}$$

This characteristic function suffers from flaws similar to those found in the function g in Example 0.11. $\frac{1}{4} = \frac{2}{8}$ and yet $X_B\left(\frac{1}{4}\right) = 1$ while $X_B\left(\frac{2}{8}\right) = 0$, which implies that the fraction $\frac{1}{4}$ belongs to B , while $\frac{2}{8}$ is not an element of B . Due to this ambiguous definition of set membership, B is not a well-defined set. B failed to pass the test: if $x = y$, then $(x \in B \text{ iff } y \in B)$.

The definition of a relation requires the specification of the domain, codomain, and the ordered pairs comprising the relation. For relations that are functions, every domain element must occur as a first coordinate. However, the set of elements that occur as second coordinates need not include all the codomain (as was the case in the function f_n in Example 0.10).

Definition 0.13 The range of a function $f : X \rightarrow Y$ is given by

$$\{y \in Y \mid \exists x \in X \ni f(x) = y\}$$

Conditions similar to those imposed on the behavior of first coordinates of a function may also be placed on second coordinates, yielding specialized types of functions. Functions for which the range encompasses all the codomain, for example, are called *surjective*

Definition 0.14 A function $f : X \rightarrow Y$ is onto or surjective iff

$$(\forall y \in Y)(\exists x \in X \ni f(x) = y); \text{ that is,}$$

a set of ordered pairs representing an onto function must have at least one first coordinate associated with any given second coordinate .

Example 0.14

The function $g: \{1, 2, 3\} \rightarrow \{a, b\}$ defined by $g(1) = a$, $g(2) = b$, and $g(3) = a$ is onto since both codomain elements are part of the range of g . However, the function $h: \{1, 2, 3\} \rightarrow \{a, b, c\}$ defined by $h(1) = a$, $h(2) = b$, and $h(3) = a$ is *not* onto since no domain element maps to c .

The function $f: \mathbb{N} \rightarrow \mathbb{N}$ defined by $f(i) = i + 1$ ($\forall i = 0, 1, 2, \dots$) is *not* onto since there is no element x for which $f(x) = 0$.

Definition 0.15 A function $f: X \rightarrow Y$ is one to one or injective iff

$$(\forall x_1 \in X)(\forall x_2 \in X)(f(x_1) = f(x_2) \Rightarrow x_1 = x_2); \text{ that is,}$$

an injective function must not have more than one first coordinate associated with any given second coordinate.

Example 0.15

The function $f: \mathbb{N} \rightarrow \mathbb{N}$ defined by $f(i) = i + 1$ ($\forall i = 0, 1, 2, \dots$) is clearly injective since if $f(i) = f(j)$ then $i + 1 = j + 1$, and so i must equal j .

The function $g: \{1, 2, 3\} \times \{a, b\}$ defined by $g(1) = a$, $g(2) = b$, and $g(3) = a$ is not one to one since $g(1) = g(3)$, but $1 \neq 3$.

Definition 0.16 A function is a bijection iff it is one to one and onto (injective and surjective); that is, it must satisfy

1. $(\forall x_1 \in X)(\forall x_2 \in X)(f(x_1) = f(x_2) \Rightarrow x_1 = x_2)$.
2. $(\forall y \in Y)(\exists x \in X \ni f(x) = y)$.

A bijective function must therefore have *exactly* one first coordinate associated with any given second coordinate.

Example 0.16

The function $f: \mathbb{N} \rightarrow \mathbb{N}$ defined by $f(i) = i + 1$ ($\forall i = 0, 1, 2, \dots$) is injective but not surjective, so it is not a bijection. However, the function $b: \mathbb{Z} \rightarrow \mathbb{Z}$ defined by $b(i) = i + 1$ ($\forall i = \dots, -2, -1, 0, 1, 2, \dots$) is a bijection. Note that while the rule for b remains the same as for f , both the domain and range have been expanded, and many more ordered pairs have been added to form the function b .

It is often appropriate to take the results produced by one function and apply the rule specified by a second function. For example, we may have a list associating students with their height in inches (that is, we have a function relating names with numbers). The conversion rule for changing inches into centimeters is also a function (associating any given number of inches with the corresponding length in centimeters), which can be applied to the heights given in the student list to produce a new list matching student names with their height in centimeters. This new list is referred to as the *composition* of the original two functions.

Definition 0.17 The composition of two functions $f: X \rightarrow Y$ and $g: Y \rightarrow Z$ is given by

$$g \circ f = \{\langle x, z \rangle \mid \exists y \in Y \ni \langle x, y \rangle \in f \text{ and } \langle y, z \rangle \in g\}$$

Note that the composition is not defined unless the codomain of the first function matches the domain of the second function. In functional notation, $g \circ f = \{\langle x, z \rangle \mid \exists y \in Y \ni f(x) = y \text{ and } g(y) = z\}$, and therefore when $g \circ f$ is defined, it can be described by the rule $g \circ f(x) = g(f(x))$.

Example 0.17

Consider the functions f_3 from Example 0.10 and f from Example 0.14, where $f_3: \mathbb{N} \rightarrow \mathbb{N}$ was defined by $f_3(i) =$ the smallest natural number j for which $j = i \pmod{3}$, and the function $f: \mathbb{N} \rightarrow \mathbb{N}$ is defined by $f(i) = i + 1$. $f \circ f_3$ consists of the ordered pairs $\{\langle 0, 1 \rangle, \langle 1, 2 \rangle, \langle 2, 3 \rangle, \langle 3, 1 \rangle, \langle 4, 2 \rangle, \langle 5, 3 \rangle, \dots\}$ and is represented by the rule $f \circ f_3(j) = f_3(j) + 1$, which happens to be the smallest *positive* number that is congruent to $j + 1 \pmod{3}$. Note that $f_3 \circ f(j) = f_3(j + 1)$, which happens to be the smallest *natural* number that is congruent to $j + 1 \pmod{3}$. This represents a different set of ordered pairs $\{\langle 0, 1 \rangle, \langle 1, 2 \rangle, \langle 2, 0 \rangle, \langle 3, 1 \rangle, \langle 4, 2 \rangle, \langle 5, 0 \rangle, \dots\}$. In most cases, $f \circ g \neq g \circ f$.

Theorem 0.1 *Let the functions $f: X \rightarrow Y$ and $g: Y \rightarrow Z$ be onto. Then $g \circ f$ is onto.*

Proof. See the exercises.

Theorem 0.2 *Let the functions $f: X \rightarrow Y$ and $g: Y \rightarrow Z$ be one to one. Then $g \circ f$ is one to one.*

Proof. See the exercises.

Definition 0.18 *The converse of a relation R , written $\sim R$, is defined by*

$$\sim R = \{\langle y, x \rangle \mid \langle x, y \rangle \in R\}$$

The converse of a function f is likewise

$$\sim f = \{\langle y, x \rangle \mid \langle x, y \rangle \in f\}$$

If $\sim f$ happens to be a function, it is called the inverse of f and is denoted by f^{-1} .

When the inverse exists, it is appropriate to use functional notation f^{-1} also, and we therefore have, for any elements a and b , $f^{-1}(b) = a$ iff $f(a) = b$. Note that if $f: X \rightarrow Y$ then $f^{-1}: Y \rightarrow X$.

Example 0.18

Consider the ordered pairs for the relation $<: \{\langle 1, 2 \rangle, \langle 1, 3 \rangle, \langle 2, 3 \rangle\}$. The converse is then $\sim <: \{\langle 2, 1 \rangle, \langle 3, 1 \rangle, \langle 3, 2 \rangle\}$. Thus, the converse of “less than” is the relation “greater than”

The function $b: \mathbb{Z} \rightarrow \mathbb{Z}$ defined by $b(i) = i + 1 (\forall i = \dots, -2, -1, 0, 1, 2, \dots)$ has the inverse $b^{-1}: \mathbb{Z} \rightarrow \mathbb{Z}$ defined by $b^{-1}(i) = i - 1 (\forall i = \dots, -2, -1, 0, 1, 2, \dots)$. The inverse of the function that increments integers by 1 is the function that decrements integers by the same amount.

The function $f: \mathbb{Z} \rightarrow \mathbb{Z}$ defined by $f(i) = i^2 (\forall i = \dots, -2, -1, 0, 1, 2, \dots)$ has a converse that is not a function over the given domain and codomain; the inverse notation is inappropriate, since $f^{-1}(3)$ is not defined, nor is $f^{-1}(-4)$.

Not surprisingly, if the converse of f is to be a function, the codomain of f (which will be the new domain of f^{-1}) must satisfy conditions similar to those imposed on the domain of f . In particular:

Theorem 0.3 *Let $f: X \rightarrow Y$ be a function. The converse of f is a function iff f is a bijection.*

Proof. See the exercises.

If f is a bijection, f^{-1} must exist and will also be a bijection. In fact, the compositions $f \circ f^{-1}$ and $f^{-1} \circ f$ are the *identity* functions on the domain and codomain, respectively (see the exercises).

0.4 Cardinality and Induction

The *size* of various sets will frequently be of interest in the topics covered in this text, and it will occasionally be necessary to consider the set of all subsets of a given set.

Definition 0.19 Given a set A , the power set of A , denoted by $\wp(A)$ or 2^A , is

$$\wp(A) = \{X \mid X \subseteq A\}$$

Example 0.19

$$\wp(\{a, b, c\}) = \{\emptyset, \{a\}, \{b\}, \{c\}, \{a, b\}, \{a, c\}, \{b, c\}, \{a, b, c\}\}$$

and

$$\wp(\{\}) = \{\emptyset\}.$$

Note that $\{\emptyset\} \neq \emptyset$.

Definition 0.20 Two sets X and Y are equipotent if there exists a bijection $f: X \rightarrow Y$, and we will write $\|X\| = \|Y\|$. $\|X\|$ denotes the cardinality of X , that is, the number of elements in X .

That is, sets with the same cardinality or “size” are equipotent. The equipotent relation is reflexive, symmetric, and transitive and is therefore an equivalence relation.

Example 0.20

The function $g: \{a, b, c\} \rightarrow \{x, y, z\}$ defined by $g(a) = z$, $g(b) = y$, and $g(c) = x$ is a bijection, and thus $\|\{a, b, c\}\| = \|\{x, y, z\}\|$. The equivalence class consisting of all sets that are equipotent to $\{a, b, c\}$ is generally associated with the *cardinal number* 3. Thus, $\|\{a, b, c\}\| = 3$; $\|\{\}\| = 0$. $\{a, b, c\}$ is not equipotent to $\{\}$, and hence $3 \neq 0$.

The subset relation allows the sizes of sets to be *ordered*: $\|A\| \leq \|B\|$ iff $(\exists C)(C \subseteq B \wedge \|A\| = \|C\|)$. We will write $\|A\| < \|B\|$ iff $(\|A\| \leq \|B\| \text{ and } \|A\| \neq \|B\|)$. The observations about $\{a, b, c\}$ and $\{\}$ imply that $0 < 3$.

For $\mathbb{N} = \{0, 1, 2, 3, 4, 5, 6, \dots\}$ and $\mathbb{E} = \{0, 2, 4, 6, \dots\}$, the function $f: \mathbb{N} \rightarrow \mathbb{E}$, defined by $f(x) = 2x$, is a bijection. The set of natural numbers \mathbb{N} is *countably infinite*, and its size is often denoted by $\aleph_0 = \|\mathbb{N}\|$. The doubling function f shows that $\|\mathbb{N}\| = \|\mathbb{E}\|$. Similarly, it can be shown that \mathbb{Z} and $\mathbb{N} \times \mathbb{N}$ are also countably infinite (see the exercises). A set that is equipotent to one of its *proper* subsets is called an infinite set. Since $\|\mathbb{N}\| = \|\mathbb{E}\|$ and yet $\mathbb{E} \subset \mathbb{N}$, we know that \mathbb{N} must be infinite. No such correspondence between $\{a, b, c\}$ and any of its proper subsets is possible, so $\{a, b, c\}$ is a finite set. 3 is therefore a finite cardinal number, while \aleph_0 represents an infinite cardinal number.

Theorem 0.4 compares the size of a set A with the number of subsets of A and shows that $\|A\| < \|\wp(A)\|$. For the sets in Example 0.19, we see that $3 < 8$ and $0 < 1$, which is not unexpected. It is perhaps surprising to find that the theorem will also apply to infinite sets, for example, $\|\mathbb{N}\| < \|\wp(\mathbb{N})\|$. This means that there are cardinal numbers larger than \aleph_0 ; there are infinite sets that are not countably infinite. Indeed, the next theorem implies that there is an unending progression of infinite cardinal numbers.

Theorem 0.4 Let A be any set. Then $\|A\| < \|\wp(A)\|$

Proof. There is a bijection between A and the set of all singleton subsets of A , as shown by the function $s: A \rightarrow \{\{x\} \mid x \in A\}$ defined by $s(z) = \{z\}$ for each $z \in A$. Since $\{\{x\} \mid x \in A\} \subseteq \wp(A)$, we have $\|A\| \leq \|\wp(A)\|$.

It remains to show that $\|A\| \neq \|\wp(A)\|$. By definition of cardinality, we must show that there cannot exist a bijection between A and $\wp(A)$. The following proof by contradiction will show this.

Assume $f: A \rightarrow \wp(A)$ is a function; we will demonstrate that there must exist a set in $\wp(A)$ that is not in the range of f , and hence f cannot be onto. Consider an element z of A and the set $f(z)$ to which it maps. $f(z)$ is a subset of A , and hence z may or may not belong to $f(z)$. Define B to be the set $\{y \in A \mid y \notin f(y)\}$. B is then the set of all elements of A that do not appear in the set corresponding to their image under f . It is impossible for B to be in the range of f , for if it were then there would be an element of A that maps to this subset: assume $w \in A$ and $f(w) = B$. Since w is an element of A , it might belong to B , which is a subset of A . If $w \in B$, then $w \in f(w)$, since $f(w) = B$; but the elements for which $y \in f(y)$ were exactly the ones omitted from B , and thus we would have $w \notin B$, which is a contradiction. Our speculation that w might belong to B is therefore incorrect. The only other option is that w does not belong to B . But if $w \notin B = f(w)$, then w is one of the elements that are supposed to be in B and we are again faced with the impossibility that $w \notin B$ and $w \in B$. In all cases, we reach a contradiction if we assume that there exists an element w for which $f(w) = B$. Thus, B was a member of the codomain that is not in the range of f , and f is therefore not a bijection.

Sets that are finite or are countably infinite are called *countable* or *denumerable* because their elements can be arranged one after the other (enumerated). We will often need to prove that a given statement is true in an infinite variety of cases that can be enumerated by the natural numbers $0, 1, 2, \dots$. The assertion that the sum of the first n positive numbers can be predicted by multiplying n by the number one larger than n and dividing the result by 2 seems to be true for various test values of n :

$$\begin{aligned} 1 + 2 + 3 &= \frac{(3+1)3}{2} \\ 1 + 2 + 3 + 4 + 5 &= \frac{(5+1)5}{2} \end{aligned}$$

and so on. We would like to show that the assertion is true for all values of $n = 1, 2, 3, \dots$, but we clearly could never check the arithmetic individually for an infinite number of cases. The assertion, which varies according to the particular number n we choose, can be represented by the statement

$$P(n): 1 + 2 + 3 + \dots + (n-2) + (n-1) + n \text{ adds up to } \frac{(n+1)n}{2}.$$

Note that $P(n)$ is *not* a number; it is the assertion that two numbers are the same and therefore will only take on the values **True** and **False**. We would like to show that $P(n)$ is true for each positive integer n ; that is, $(\forall n)P(n)$. Notice that if you were to attempt to check out whether $P(101)$ was true your work would be considerably simplified if you already knew how the first 100 numbers added up. If the first 100 summed to 5050, it is clear that $1 + 2 + \dots + 99 + 100 + 101 = (1 + 2 + \dots + 99 + 100) + 101 = 5050 + 101 = 5151$; the hard part of the calculation can be done *without* doing arithmetic with 101 separate numbers. Checking that $\frac{(101+1)101}{2}$ agrees with 5151 shows that $P(101)$ is indeed true [that is, as long as we are sure that our calculations in verifying $P(100)$ are correct]. Essentially, the same technique could have been used to show that $P(6)$ followed from $P(5)$. This trick of using the results of previous cases to help verify further cases is reflected in the principle of mathematical induction.

Theorem 0.5 Let $P(n)$ be a statement for each natural number $n \in \mathbb{N}$. From the two hypotheses

- i. $P(0)$
- ii. $(\forall m \in \mathbb{N})(P(m) \Rightarrow P(m+1))$

we can conclude $(\forall n \in \mathbb{N})P(n)$.

The fundamental soundness of the principle is obvious in light of the following analogy: Assume you can reach the basement of some building (hypothesis i). If you were assured that from *any* floor m you could reach the next higher floor (hypothesis ii), you would then be assured that you could reach any floor you wished $((\forall n \in \mathbb{N})P(n))$.

Similar statements can be made from other starting points; for example, beginning with $P(4)$ and $(\forall m > 4)(P(m) \Rightarrow P(m + 1))$, we can derive the conclusion $(\forall m > 4)P(n)$; had we started on the fourth floor of the building, we could reach *any* of the higher floors.

Example 0.21

Consider the statement discussed above, where $P(n)$ was the assertion that $1 + 2 + 3 + \dots + (n - 2) + (n - 1) + n$ adds up to $\frac{(n+1)n}{2}$. We will begin with $P(1)$ (the *basis* step) and note that $1 = \frac{(1+1)1}{2}$, so $P(1)$ is indeed true. For the *inductive* step, let m be an arbitrary (but fixed) positive integer, and assume $P(m)$ is true; that is, $1 + 2 + 3 + \dots + (m - 2) + (m - 1) + m$ adds up to $\frac{(m+1)m}{2}$. We need to show $P(m + 1)$: $1 + 2 + 3 + \dots + (m + 1 - 2) + (m + 1 - 1) + (m + 1)$ adds up to $\frac{(m+1+1)(m+1)}{2}$. As in the case of proceeding from 100 to 101, we will use the fact that the first m integers add up correctly (the induction assumption) to see how the first $m + 1$ integers add up. We have:

$$\begin{aligned} 1 + 2 + 3 + \dots + (m + 1 - 2) + (m + 1 - 1) + (m + 1) \\ &= (1 + 2 + 3 + \dots + (m + 1 - 2) + (m + 1 - 1)) + (m + 1) \\ &= \frac{(m+1)m}{2} + (m + 1) \\ &= \frac{(m+1)m}{2} + \frac{(m+1)2}{2} \\ &= \frac{((m+1)m + (m+1)2)}{2} \\ &= \frac{(m+1)(m+2)}{2} \\ &= \frac{(m+1+1)(m+1)}{2} \end{aligned}$$

$P(m+1)$ is therefore true, and $P(m+1)$ indeed follows from $P(m)$. Since m was arbitrary, $(\forall m)(P(m) \Rightarrow P(m + 1))$ and, by induction, $(\forall n \geq 1)P(n)$. The formula is therefore true for every positive integer n . It is interesting to note that, with the usual convention of defining the sum of *no* integers to be zero, the formula also holds for $n = 0$, and $P(0)$ could have been used as the basis step to prove $(\forall n \in \mathbb{N})P(n)$.

Example 0.22

Consider the statement

Any statement formula using the n variables p_1, p_2, \dots, p_n has an equivalent expression that contains less than $n \cdot 2^n$ operators.

This can be proved by induction on the statement

$P(n)$: Any statement formula using n or *fewer* variables has an equivalent expression that contains less than $n \cdot 2^n$ operators.

Basis step: A statement formula in one variable must be either be p , $\neg p$, **T**, or **F**, each of which requires at most one operator, and since $1 < 1 \cdot 2^1$, $P(1)$ is true.

Inductive step: Assume $P(m)$ is true; we need to prove that $P(m + 1)$ is true, which is to say that we need to ensure that the statement holds not just for formulas with m or fewer variables, but also for

formulas with $m+1$ variables. Thus, choose an expression S containing the variables $p_1, p_2, \dots, p_m, p_{m+1}$. Consider the principal disjunctive normal form (PDNF) of S . This expression is equivalent to S and has terms that can be separated into two categories: (1) those that contain the term p_{m+1} , and (2) those that contain the term $\neg p_{m+1}$. While the PDNF may very well contain more than the desired number of terms, the distributive law can be used to factor p_{m+1} out of all the terms in (1), leaving an expression of the form $C \wedge p_{m+1}$ where C is a formula containing only the terms p_1, p_2, \dots, p_m . Similarly, $\neg p_{m+1}$ can be factored out of all the terms in (2), leaving an expression of the form $D \wedge \neg p_{m+1}$, where D is also a formula containing only the terms p_1, p_2, \dots, p_m .

S can therefore be written as $(C \wedge p_{m+1}) \vee (D \wedge \neg p_{m+1})$, which contains the four operators \wedge, \vee, \neg , and \neg and the operators that comprise the formulas for C and D . However, since both C and D only contain the m variables p_1, p_2, \dots, p_m , the induction assumption ensures that they each have equivalent representations using no more than $m \cdot 2^m$ operators. S can therefore be written in a form containing at most $4 + m \cdot 2^m + m \cdot 2^m$ operators, which can be shown to be less than $(m+1) \cdot 2^{m+1}$ for all positive numbers m . Since S was an arbitrary expression with $m+1$ operators, we have shown that *any* statement formula using exactly $m+1$ variables has an equivalent expression that contains no more than $(m+1) \cdot 2^{m+1}$ operators.

Since $P(m)$ was assumed true, we likewise know that any statement formula using m or fewer variables also has an equivalent expression that contains no more than $m \cdot 2^m$ operators. $P(m+1)$ is therefore true, and $P(m+1)$ indeed follows from $P(m)$. Since m was an arbitrary positive integer, $(\forall m > 1)(P(m) \Rightarrow P(m+1))$ and by induction $(\forall n > 1)P(n)$. The formula is therefore true for every natural number n .

0.5 Recursion

Since this text will be dealing with devices that repeatedly perform certain operations, it is important to understand the recursive definition of functions and how to effectively investigate the properties of such functions. Recall that the factorial function ($f(n) = n!$) is defined to be the product of the first n integers. Thus,

$$\begin{aligned} f(1) &= 1 \\ f(2) &= 1 \cdot 2 = 2 \\ f(3) &= 1 \cdot 2 \cdot 3 = 6 \\ f(4) &= 1 \cdot 2 \cdot 3 \cdot 4 = 24 \end{aligned}$$

and so on. Note that individual definitions get longer as n increases. If we adopt the convention that $f(0) = 1$, the factorial function can be *recursively* defined in terms of other values produced by the function.

Definition 0.21 For $x \in \mathbb{N}$, define

$$\begin{aligned} f(x) &= 1, & \text{if } x = 0 \\ f(x) &= x \cdot f(x-1), & \text{if } x > 0 \end{aligned}$$

This definition implies that $f(3) = 3 \cdot f(2) = 3 \cdot 2 \cdot f(1) = 3 \cdot 2 \cdot 1 \cdot f(0) = 3 \cdot 2 \cdot 1 \cdot 1 = 6$.

0.6 Backus-Naur Form

The syntax of programming languages is often illustrated with *syntax diagrams* or described in *Backus-Naur Form (BNF)* notation.

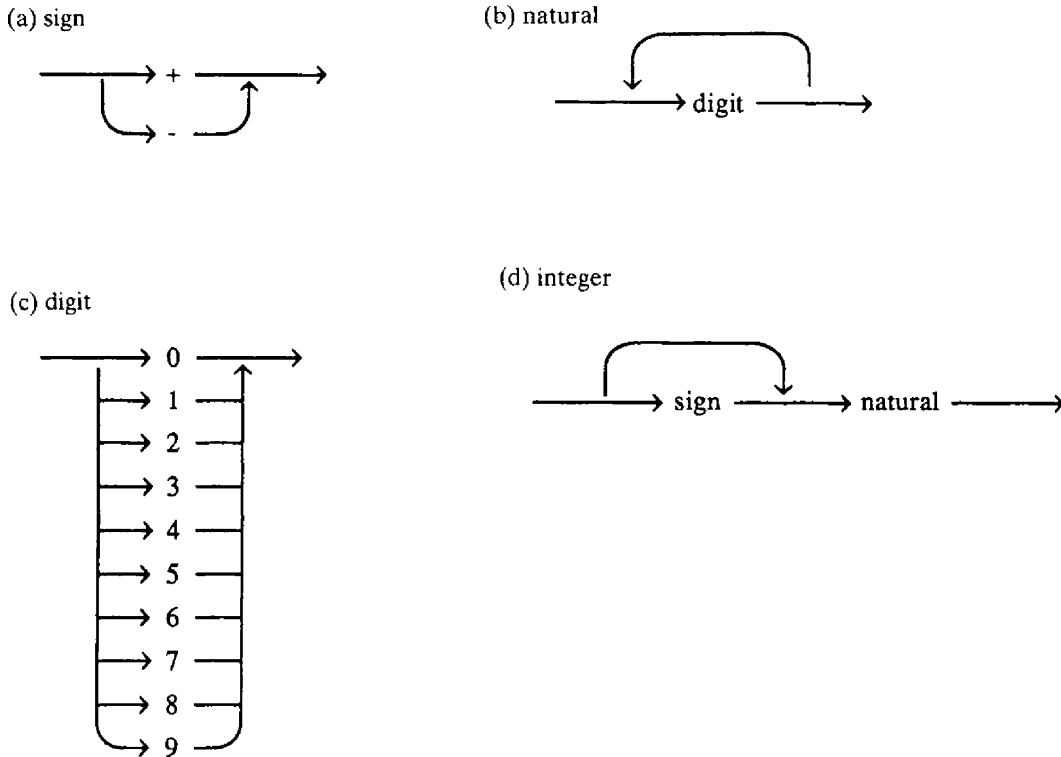


Figure 0.6: Syntax diagrams for the components of integer constants

Example 0.23

The constraints for integer constants, which may begin with a sign and must consist of one or more digits, are succinctly described by the following *productions* (replacement rules):

$$\begin{aligned} \langle \text{sign} \rangle &::= +|- \\ \langle \text{digit} \rangle &::= 0|1|2|3|4|5|6|7|8|9 \\ \langle \text{natural} \rangle &::= \langle \text{digit} \rangle | \langle \text{digit} \rangle \langle \text{natural} \rangle \\ \langle \text{integer} \rangle &::= \langle \text{natural} \rangle | \langle \text{sign} \rangle \langle \text{natural} \rangle \end{aligned}$$

The symbol | represents “or,” and the rule

$$\langle \text{sign} \rangle ::= +|-$$

should be interpreted to mean that the token $\langle \text{sign} \rangle$ can be replaced by either the symbol + or the symbol -. A typical integer constant is therefore **+12**, since it can be derived by applying the above rules in the following fashion:

$$\begin{aligned} \langle \text{integer} \rangle &\rightarrow \langle \text{sign} \rangle \langle \text{natural} \rangle \\ \langle \text{sign} \rangle \langle \text{natural} \rangle &\rightarrow + \langle \text{natural} \rangle \\ + \langle \text{natural} \rangle &\rightarrow + \langle \text{digit} \rangle \langle \text{natural} \rangle \\ + \langle \text{digit} \rangle \langle \text{natural} \rangle &\rightarrow +1 \langle \text{natural} \rangle \\ +1 \langle \text{natural} \rangle &\rightarrow +1 \langle \text{digit} \rangle \\ +1 \langle \text{digit} \rangle &\rightarrow +12 \end{aligned}$$

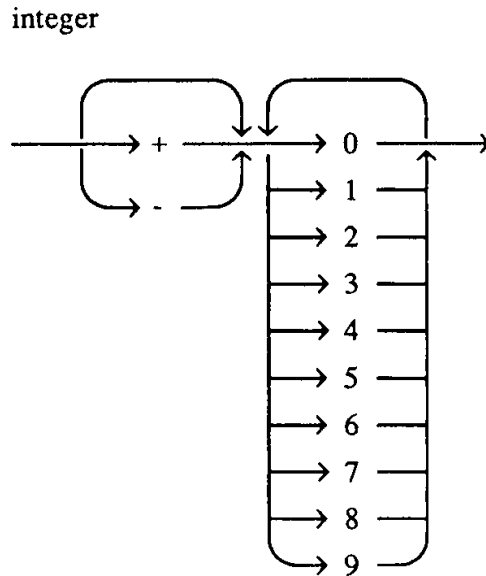


Figure 0.7: A syntax diagram for integer constants

Syntax diagrams for each of the four productions are shown in Figure 0.6. These can be combined to form a diagram that does not involve the intermediate tokens <sign>, <digit>, and <natural> (see Figure 0.7).

Exercises

0.1. Construct truth tables for:

- (a) $\neg r \vee (\neg p \downarrow \neg q)$
- (b) $(p \wedge \neg q) \vee \neg(p \uparrow q)$

0.2. Draw circuit diagrams for:

- (a) $\neg(r \vee (\neg p \downarrow \neg q)) \uparrow (s \wedge p)$
- (b) $(p \wedge \neg q) \vee \neg(p \uparrow q)$

0.3. Show that the sets $\{1, 2\} \times \{a, b\}$ and $\{a, b\} \times \{1, 2\}$ are not equal.

0.4. Let $X = \{1, 2, 3, 4\}$.

- (a) Determine the set of ordered pairs comprising the relation $<$.
- (b) Determine the set of ordered pairs comprising the relation $=$.
- (c) Since relations are sets of ordered pairs, it makes sense to union them together. Determine the set $= \cup <$.
- (d) Determine the set of ordered pairs comprising the relation \leq .

0.5. Let $n \in \mathbb{N}$ be a natural number. Show that congruence modulo n , \equiv_n , is an equivalence relation.

- 0.6. Let $X = \mathbb{N}$. Determine the equivalence classes for congruence modulo 0.
- 0.7. Let $X = \mathbb{N}$. Determine the equivalence classes for congruence modulo 1.
- 0.8. Let $X = \mathbb{R}$. Determine the equivalence classes for congruence modulo 1.
- 0.9. Let R be an arbitrary equivalence relation in X . Prove that the distinct equivalence classes of R form a partition of X .
- 0.10. Given a set X and a partition $P = \{A_1, A_2, \dots, A_n\}$ of X , prove that X equals the union of the sets in P .
- 0.11. Given a set X and a partition $P = \{A_1, A_2, \dots, A_n\}$ of X , prove that the relation $R(P)$ in X induced by P is an equivalence relation.
- 0.12. Let $X = \{1, 2, 3, 4\}$.
- Give an example of a partition P for which $R(P)$ is a function.
 - Give an example of a partition P for which $R(P)$ is not a function.
- 0.13. The following “proof” seems to indicate that a relation that is symmetric and transitive must also be reflexive:
- By symmetry, $xRy \Rightarrow yRx$.
 Thus we have $(xRy \wedge yRx)$.
 By transitivity, $(xRy \wedge yRx) \Rightarrow xRx$.
 Hence $(\forall x)(xRx)$.
- Find the flaw in this “proof” and give an example of a relation that is symmetric and transitive but not reflexive.
- 0.14. Let R be an arbitrary equivalence relation in X . Prove that the equality relation on X refines R .
- 0.15. Consider the “function” $t: \mathbb{R} \rightarrow \mathbb{R}$ defined by pairing x with the real number whose cosine is x .
- Show that t is not well defined.
 - Adjust the domain and range of t to produce a valid function.
- 0.16. Consider the function $s': \mathbb{R} \rightarrow \mathbb{R}$ defined by $s'(x) = x^2$. Show that the converse of s' is not a function.
- 0.17. Let \mathbb{P} be the set of nonnegative real numbers, and consider the function $s: \mathbb{P} \rightarrow \mathbb{P}$ defined by $s(x) = x^2$. Show that s^{-1} exists.
- 0.18. Let $f: X \times Y$ be an arbitrary function. Prove that the converse of f is a function *iff* f is a bijection.
- 0.19. (a) Let $\sim A$ denote the complement of a set A . Prove that $\sim(\sim A) = A$.
 (b) Let $\sim R$ denote the converse of a relation R . Prove that $\sim(\sim R) = R$.
- 0.20. Let the functions $f: X \rightarrow Y$ and $g: Y \rightarrow Z$ be one to one. Prove that $g \circ f$ is one to one.
- 0.21. Let the functions $f: X \rightarrow Y$ and $g: Y \rightarrow Z$ be onto. Prove that $g \circ f$ is onto.

- 0.22. Define two functions for which $f \circ g = g \circ f$
- 0.23. Define, if possible, a bijection between:
- (a) \mathbb{N} and \mathbb{Z}
 - (b) \mathbb{N} and $\mathbb{N} \times \mathbb{N}$
 - (c) \mathbb{N} and \mathbb{Q}
 - (d) \mathbb{N} and $\{a, b, c\}$
- 0.24. Use induction to prove that the sum of the cubes of the first n positive integers adds up to $\frac{n^2(n+1)^2}{4}$
- 0.25. Use induction to prove that the sum of the first n positive integers is less than n^2 (for $n > 1$).
- 0.26. Use induction to prove that, for $n > 3$, $n! > n^2$.
- 0.27. Use induction to prove that, for $n > 3$, $n! > 2^n$.
- 0.28. Use induction to prove that $1^2 + 2^2 + \dots + n^2 = n(n+1)\frac{(2n+1)}{6}$.
- 0.29. Prove by induction that $X \cap (X_1 \cup X_2 \cup \dots \cup X_n) = (X \cap X_1) \cup (X \cap X_2) \cup \dots \cup (X \cap X_n)$.
- 0.30. Let $\sim A$ denote the complement of the set A . Prove by induction that $\sim(X_1 \cup X_2 \cup \dots \cup X_n) = (\sim X_1) \cap (\sim X_2) \cap \dots \cap (\sim X_n)$.
- 0.31. Use induction to prove that there are 2^n subsets of a set of size n ; that is, for any finite set A , $\|\wp(A)\| = 2^{\|A\|}$.
- 0.32. The principle of mathematical induction is often stated in the following form, which requires (apparently) stronger hypotheses to reach the desired conclusion: Let $P(n)$ be a statement for each natural number $n \in \mathbb{N}$. From the two hypotheses
- i. $P(0)$
 - ii. $(\forall m \in \mathbb{N})((\forall i \leq m)P(i)) \Rightarrow P(m+1)$

we can conclude $(\forall n \in \mathbb{N})P(n)$. Prove that the strong form of induction is equivalent to the statement of induction given in the text. *Hint:* Consider the restatement of the hypothesis given in Example 0.22.

- 0.33. Determine what types of strings are defined by the following BNF:

```

<sign> ::= +|-
<digit> ::= 0|1|2|3|4|5|6|7|8|9
<natural> ::= <digit>|<digit><natural>
<integer> ::= <natural>|<sign><natural>
<real constant> ::= <integer>
                    <integer>.
                    <integer>.<natural>
                    <integer>.<natural>E<integer>

```

p_1	p_2	p_3	q
0	0	0	0
0	0	1	0
0	1	0	1
0	1	1	0
1	0	0	1
1	0	1	0
1	1	0	0
1	1	1	1

Figure 0.8: The truth table for Exercise 0.41

- 0.34. A set X is *cofinite* if the complement of X (with respect to some generally understood universal set) is finite. Let the universal set be \mathbb{Z} . Give an example of
- (a) A finite set
 - (b) A cofinite set
 - (c) A set that is neither finite nor cofinite
- 0.35. Consider the equipotent relation, which relates sets to other sets.
- (a) Prove that this relation is reflexive.
 - (b) Prove that this relation is symmetric.
 - (c) Prove that this relation is transitive
- 0.36. Define a function that will show that $\|\mathbb{N}\| = \|\mathbb{N} \times \mathbb{N}\|$
- 0.37. Show that \mathbb{N} is equipotent to \mathbb{N} .
- 0.38. Show that \mathbb{N} is equipotent to \mathbb{Q} .
- 0.39. Show that $\wp(\mathbb{N})$ is equipotent to $\{f: \mathbb{N} \rightarrow \{\text{Yes, No}\} \mid f \text{ is a function}\}$.
- 0.40. Show that $\wp(\mathbb{N})$ is equipotent to \mathbb{R} .
- 0.41. Draw a circuit diagram that will implement the function q given by the truth table shown in Figure 0.8.
- 0.42. (a) Draw a circuit diagram that will implement the function q_1 given by the truth table shown in Figure 0.9.
- (b) Draw a circuit diagram that will implement the function q_2 given by the truth table shown in Figure 0.9.
- (c) Draw a circuit diagram that will implement the function q_3 given by the truth table shown in Figure 0.9.

p_1	p_2	p_3	p_4	q_1	q_2	q_3
0	0	0	0	1	1	1
0	0	0	1	0	1	0
0	0	1	0	1	0	0
0	0	1	1	1	1	0
0	1	0	0	0	1	1
0	1	0	1	1	0	0
0	1	1	0	1	1	0
0	1	1	1	0	0	0
1	0	0	0	1	0	1
1	0	0	1	1	0	0
1	0	1	0	0	1	0
1	0	1	1	0	0	0
1	1	0	0	1	1	1
1	1	0	1	0	1	0
1	1	1	0	0	1	1
1	1	1	1	0	0	0

Figure 0.9: The truth table for Exercise 0.42